## **United States Bankruptcy Court** Southern District Of New York

In re Lehman Brothers Holdings Inc., et al.,

Case No. 08-13555 (JMP) (Jointly Administered)

### PARTIAL TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of

the transfer, other than for security, of the claim referenced in this evidence and notice.	
Name of Transferee	Name of Transferor
Deutsche Bank AG, London Branch	Siemens AG
Name and Address where notices to transferee should be sent:	
Deutsche Bank AG, London Branch c/o Deutsche Bank Securities Inc. 60 Wall Street, 3 <sup>rd</sup> Floor New York, NY 10005 Attention: Matt Weinstein Phone: 212-250-5760 Fax: 212-797-8770	Court Claim # (if known): 11421
	Amount of Claim: \$12,426,036.06
	Date Claim Filed: September 11, 2009
Last Four Digits of Acct #: N/A	Last Four Digits of Acct. #: N/A
Name and Address where transferee payments should be sent (if different from above):	
Same as above.	
I declare under penalty of perjury that the informati to the best of my knowledge and belief.  By:  Transferee/Transferee's Agent	on provided in this notice is true and correct  Date: 9 - 30 - ()
Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.	

Ross Miller Director

Philipp Roever Vice President

#### EVIDENCE OF TRANSFER OF CLAIM

TO:

United States Bankruptcy Court for the

Southern District of New York ("Bankruptcy Court")

Attn:

Clerk

AND TO:

Lehman Brothers Holdings Inc. ("Debtor")

Case No. 08-13555 (JMP) (Jointly Administered)

Claim #: 11421

SIEMENS AG, its successors and assigns ("Seller"), for good and valuable consideration the receipt and sufficiency of which are hereby acknowledged, does hereby unconditionally and irrevocably sell, transfer and assign unto:

### DEUTSCHE BANK AG, LONDON BRANCH

c/o Deutsche Bank Securities Inc. 60 Wall Street, 3<sup>rd</sup> Floor New York, NY 10005 Attn: Matthew Weinstein

Attn: Matthew Weinstein Phone: 212-250-5760

its successors and assigns ("Buyer"), all rights, title and interest in and to the claim of Seller, including all rights of stoppage in transit, replevin and reclamation ("Claim") against the Debtor in the Bankruptcy Court, or any other court with jurisdiction over the bankruptcy proceedings of the Debtor.

Seller hereby waives any objection to the transfer of the Claim to Buyer on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Seller acknowledges and understands, and hereby stipulates that an order of the Bankruptcy Court may be entered without further notice to Seller transferring to Buyer the Claim and recognizing the Buyer as the sole owner and holder of the Claim.

You are hereby directed to make all future payments and distributions, and to give all notices and other communications, in respect of the Claim to Buyer.

IN WITNESS WHEREOF, the undersigned has duly executed this Evidence of Transfer of Claim by its duly authorized representative dated September 27, 2011.

SIEMENS AG

Name:

Title: By:

Name: Title:

### United States Bankruptcy Court Southern District Of New York

In re Lehman Brothers Holdings Inc., et al.,

Ross Miller Director Case No. 08-13555 (JMP) (Jointly Administered)

### PARTIAL TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

the transfer, other than for security, of the claim referenced in this evidence and notice.		
Name of Transferee	Name of Transferor	
Deutsche Bank AG, London Branch	Siemens AG	
Name and Address where notices to transferee should be sent:		
Deutsche Bank AG, London Branch	Court Claim # (if known): 11420	
c/o Deutsche Bank Securities Inc. 60 Wall Street, 3 <sup>rd</sup> Floor New York, NY 10005 Attention: Matt Weinstein Phone: 212-250-5760 Fax: 212-797-8770	Amount of Claim: \$12,426,036.06	
	Date Claim Filed: September 11, 2009	
Last Four Digits of Acct #: N/A	Last Four Digits of Acct. #: N/A	
Name and Address where transferee payments should be sent (if different from above):		
Same as above.  I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.		
By: Mp. By	Date: _ ? - ? U-! !	
Transferee/Transferee's Agent		
Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.  Philipp Roever  Vice President		

EXHIBIT B

### EVIDENCE OF TRANSFER OF CLAIM

TO:

United States Bankruptcy Court for the

Southern District of New York ("Bankruptcy Court")

Attn:

Clerk

AND TO:

Lehman Brothers Special Financing Inc. ("<u>Debtor</u>") Case No. 08-13555 (JMP) (Jointly Administered)

Claim #: 11420

SIEMENS AG, its successors and assigns ("Seller"), for good and valuable consideration the receipt and sufficiency of which are hereby acknowledged, does hereby unconditionally and irrevocably sell, transfer and assign unto:

#### DEUTSCHE BANK AG, LONDON BRANCH

c/o Deutsche Bank Securities Inc. 60 Wall Street, 3<sup>rd</sup> Floor New York, NY 10005 Attn: Matthew Weinstein Phone: 212-250-5760

its successors and assigns ("Buyer"), all rights, title and interest in and to the claim of Seller, including all rights of stoppage in transit, replevin and reclamation ("Claim") against the Debtor in the Bankruptcy Court, or any other court with jurisdiction over the bankruptcy proceedings of the Debtor.

Seller hereby waives any objection to the transfer of the Claim to Buyer on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Seller acknowledges and understands, and hereby stipulates that an order of the Bankruptcy Court may be entered without further notice to Seller transferring to Buyer the Claim and recognizing the Buyer as the sole owner and holder of the Claim.

You are hereby directed to make all future payments and distributions, and to give all notices and other communications, in respect of the Claim to Buyer.

IN WITNESS WHEREOF, the undersigned has duly executed this Evidence of Transfer of Claim by its duly authorized representative dated September 27, 2011.

SIEMENS AG

By:\_\_\_ Name: Title:

By:\_\_\_ Name: Title:

AUGUSTIN

## United States Bankruptcy Court Southern District Of New York

In re Lehman Brothers Holdings Inc., et al.,

Case No. 08-13555 (JMP) (Jointly Administered)

### PARTIAL TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Name of Transferee	Name of Transferor	
Deutsche Bank AG, London Branch	Saphir Finance P.L.C.	
Name and Address where notices to transferee should be sent:		
Deutsche Bank AG, London Branch	Court Claim # (if known): 67561 (amends 25839)	
c/o Deutsche Bank Securities Inc. 60 Wall Street, 3 <sup>rd</sup> Floor	Amount of Claim: \$3,398,927.17	
New York, NY 10005 Attention: Matt Weinstein Phone: 212-250-5760 Fax: 212-797-8770	Date Claim Filed: July 14, 2011(originally filed September 21, 2009)	
Last Four Digits of Acct #: N/A	Last Four Digits of Acct. #: N/A	
Name and Address where transferee payments should be sent (if different from above):		
Same as above.		
I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.		
By: 40 Marky	Date: 9 - 30 - 11	
Transferee's Agent		
Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.  Philipp Roever Vice President		

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#### EVIDENCE OF TRANSFER OF CLAIM

10:

United States Bankruptcy Court for the

Southern District of New York ("Bankruptcy Court")

Attn: Clerk

AND TO:

Lehman Brothers Special Financing Inc. ("Debtor") Case No. 08-13555 (JMP) (Jointly Administered)

Claim #: 67561 (amending proof of claim number 25839)

SAPHIR FINANCE P.L.C. its successors and assigns ("Soller"), for good and valuable consideration the receipt and sufficiency of which are hereby acknowledged, does hereby unconditionally and irrevocably sell, transfer and assign unto:

DEUTSCIEE BANK AG, LONDON BRANCH c/o Deutsche Bank Securities Inc. 60 Wall Street, 3<sup>rd</sup> Floor New York, NY 10005 Atta: Matt Weinstein

Attn: Matt Weinstein Phone: 212-250-5760

its successors and assigns ("Buyer"), all rights, title and interest in and to the claim of Seller, including all rights of stoppage in transit, repleving and reclamation, in the principal amount of \$3,398,927.17 ("Claim") against the Debtor in the Bankruptey Court, or any other court with jurisdiction over the bankruptey proceedings of the Debtor.

Seller hereby waives any objection to the transfer of the Claim to Buyer on the books and records of the Debtor and the Bankruptey Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptey Procedure, the Bankruptey Code, applicable local bankruptey rules or applicable law. Seller acknowledges and understands, and Lereby stipulates that an order of the Bankruptey Court may be entered without further notice to Seller transferring to Buyer the Claim and recognizing the Buyer as the sole owner and holder of the Claim.

You are hereby directed to make all future payments and distributions, and to give all notices and other communications, in respect of the Claim to Buyer.

IN WITNESS WHEREOF, the undersigned has duly executed this Evidence of Transfer of Claim by its duly authorized representative dated September 29, 2011.

SAPHIR FINANCE P.L.C.

Nime: MA FRANCESC CORNEL

Title: DULY AUTHORIZED ATTORNEY

## United States Bankruptcy Court Southern District Of New York

In re Lehman Brothers Holdings Inc., et al.,

Case No. 08-13555 (JMP) (Jointly Administered)

### PARTIAL TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Name of Transferee	Name of Transferor
Deutsche Bank AG, London Branch	Saphir Finance P.L.C.
Name and Address where notices to transferee should be sent:	
Deutsche Bank AG, London Branch	Court Claim # (if known): 67560 (amends 25840)
c/o Deutsche Bank Securities Inc. 60 Wall Street, 3 <sup>rd</sup> Floor	Amount of Claim: \$3,398,927.17
New York, NY 10005 Attention: Matt Weinstein Phone: 212-250-5760 Fax: 212-797-8770	Date Claim Filed: July 14, 2011(originally filed September 21, 2009)
Last Four Digits of Acct #: N/A	Last Four Digits of Acct. #: N/A
Name and Address where transferee payments should be sent (if different from above):	
Same as above.	
I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.	
By: Transferee's Agent	Date: 9 - 30 -11
Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.  Philipp Roever Vice President	

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EVIDENCE OF TRANSFER OF CLAIM

TO:

United States Bankruptey Court for the

Southern District of New York ("Bankmptcy Court")

Atin: Clerk

AND TO:

Lehman Brothers Holdings Inc. ("Debtor") Case No. 03-13555 (JMP) (Jointly Administered)

Claim #: 67560 (amending proof of claim 25840)

SAPHIR FINANCE P.L.C. its successors and assigns ("Seller"), for good and valuable consideration the receipt and sufficiency of which are hereby acknowledged, does hereby unconditionally and irrevocably sell, transfer and assign unto:

DEUTSCHE BANK AG, LONDON BRANCH c/o Deutsche Bank Securities Inc. 60 Wall Street, 3<sup>rd</sup> Floor New York, NY 10005 Attn: Matt Weinstein Phone: 212-250-5760

its successors and assigns ("Buyer"), all rights, title and interest in and to the claim of Seller, including all rights of stoppage in transit, replevin and reclamation, in the principal amount of \$3,398,927.17 ("Claim") against the Debtor in the Bankruptcy Court, or any other court with jurisdiction over the bankruptcy proceedings of the Debtor.

Seller hereby waives any objection to the transfer of the Claim to Buyer on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Seller acknowledges and understands, and hereby stipulates that an order of the Bankruptcy Court may be entered without further notice to 5:ther transferring to Buyer the Claim and recognizing the Buyer as the sole owner and holder of the Claim.

You are hereby directed to make all future payments and distributions, and to give all notices and other communications, in respect of the Claim to Buyer.

IN WITNESS WHEREOF, the undersigned has duly executed this Evidence of Transfer of Claim by its duly authorized representative dated September 28th, 2011.

SAPHIR FINANCE P.L.C.

Name: Vir. Francesco Corneli

Title: Duly authorized attorney

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### United States Bankruptcy Court Southern District Of New York

In re Lehman Brothers Holdings Inc., et al.,

Case No. 08-13555 (JMP) (Jointly Administered)

### PARTIAL TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

### Name of Transferee

Name of Transferor

Deutsche Bank AG, London Branch

BNP Paribas Securities Corp.

Name and Address where notices to transferee should be sent:

Deutsche Bank AG, London Branch c/o Deutsche Bank Securities Inc. 60 Wall Street, 3<sup>rd</sup> Floor New York, NY 10005

Attention: Matt Weinstein Phone: 212-250-5760

Fax: 212-797-8770

Court Claim # (if known): 67346 (amends 67143)

Amount of Claim: \$2,253,419.00

Date Claim Filed: February 25, 2011

Last Four Digits of Acct #: N/A

Last Four Digits of Acct. #: N/A

Name and Address where transferee payments should be sent (if different from above):

Same as above.

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

Transferee/Transferee's Agent

Date: 9 - 30 - 11

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both, 18 U.S.C. §§ 152 & 3571.

Ross Miller Director Philipp Roever Vice President

#### EVIDENCE OF TRANSFER OF CLAIM

TO:

United States Bankruptcy Court for the

Southern District of New York ("Bankruptcy Court")

Attn: Clerk

AND TO:

Lehman Brothers Holdings Inc. ("Debtor")

Case No. 08-13555 (JMP) (Jointly Administered)

Claim No.: 67346

BNP PARIBAS SECURITIES CORP., its successors and assigns ("Seller"), for good and valuable consideration the receipt and sufficiency of which are hereby acknowledged, does hereby unconditionally and irrevocably sell, transfer and assign unto:

DEUTSCHE BANK AG, LONDON BRANCH c/o Deutsche Bank Securities Inc. 60 Wall Street, 3rd Floor New York, NY 10005 Attn: Matt Weinstein Tel: 212-250-5760

its successors and assigns ("Buyer"), all rights, title and interest in and to the claim of Seller in the principal amount of \$2,253,419.00 ("Claim") against the Debtor in the Bankruptcy Court, or any other court with jurisdiction over the bankruptcy proceedings of the Debtor.

Seller hereby waives any objection to the transfer of the Claim to Buyer on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Seller acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Seller transferring to Buyer the Claim and recognizing the Buyer as the sole owner and holder of the Claim.

You are hereby directed to make all future payments and distributions, and to give all notices and other communications, in respect of the Claim to Buyer.

[Signature Pages Follow]

## 08-13555-mg Doc 20571 Filed 10/04/11 Entered 10/04/11 12:09:17 Main Document Pg 11 of 16

IN WITNESS WHEREOF, the undersigned has duly executed this Evidence of Transfer of Claim by its duly authorized representative dated September 23, 2011.

SELLER

BNP PARIBAS SECURITIES CORP.

BUYER

DEUTSCHE BANK AG, LONDON BRANCH

Christian Mundigo Co-Head of Fixed Income Americas Name: Title:

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IN WITNESS WHEREOF, the undersigned has duly execute representative dated September 23, 2011.	ed this Evidence of Transfer of Claim by its duly authorized
SELLER	BUYER
BNP PARIBAS SECURITIES CORP.	DEUTSCHE BANK AG, LONDON BRANCH
By:	By: Name: Title:  Name:

Title:

## United States Bankruptcy Court Southern District Of New York

In re Lehman Brothers Holdings Inc., et al.,

Name of Transferee

Case No. 08-13555 (JMP) (Jointly Administered)

### PARTIAL TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Name of Transferor

Deutsche Bank AG, London Branch	BNP Paribas Securities Corp.
Name and Address where notices to transferee should be sent:	
Deutsche Bank AG, London Branch c/o Deutsche Bank Securities Inc. 60 Wall Street, 3 <sup>rd</sup> Floor New York, NY 10005 Attention: Matt Weinstein Phone: 212-250-5760 Fax: 212-797-8770	Court Claim # (if known): 66519 (amends 15762)
	Amount of Claim: \$2,253,419.00
	Date Claim Filed: April 9, 2010
Last Four Digits of Acct #: N/A	Last Four Digits of Acct. #: N/A
Name and Address where transferee payments should be sent (if different from above):	
Same as above.	
I declare under penalty of perjury that the information to the best of my knowledge and belief.  Byt  Transferee/Transferee's Agent	Date: 7 - 30-11
Penalty for making a false statement: Fine of up to \$500,000 or impris ROSS Miller Philipp Roever Vice President	conment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

### EVIDENCE OF TRANSFER OF CLAIM

TO:

United States Bankruptcy Court for the

Southern District of New York ("Bankruptcy Court")

Attn: Clerk

AND TO:

Lehman Brothers Commodity Services Inc. ("Debtor")

Case No. 08-13555 (JMP) (Jointly Administered)

Claim No.: 66519

BNP PARIBAS SECURITIES CORP., its successors and assigns ("Seller"), for good and valuable consideration the receipt and sufficiency of which are hereby acknowledged, does hereby unconditionally and irrevocably sell, transfer and assign unto:

DEUTSCH BANK AG, LONDON BRANCH c/o Deutsche Bank Securities Inc. 60 Wall Street, 3rd Floor New York, NY 10005 Attn: Matt Weinstein Tel: 212-250-5760

its successors and assigns ("Buyer"), all rights, title and interest in and to the claim of Seller in the principal amount of \$2,253,419.00 ("Claim") against the Debtor in the Bankruptcy Court, or any other court with jurisdiction over the bankruptcy proceedings of the Debtor.

Seller hereby waives any objection to the transfer of the Claim to Buyer on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Seller acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Seller transferring to Buyer the Claim and recognizing the Buyer as the sole owner and holder of the Claim.

You are hereby directed to make all future payments and distributions, and to give all notices and other communications, in respect of the Claim to Buyer.

[Signature Pages Follow]

# 08-13555-mg Doc 20571 Filed 10/04/11 Entered 10/04/11 12:09:17 Main Document Pg 15 of 16

IN WITNESS WHEREOF, the undersigned has duly executed this Evidence of Transfer of Claim by its duly authorized representative dated September 23, 2011.

Title:

SELLER	BUYER
BNP PARIBAS SECURITIES CORP.	DEUTSCHE BANK AG, LONDON BRANCH
By:  Name: Title:  Christian Mundigo  Co-Head of Fixed Income Americas	By:

## 08-13555-mg Doc 20571 Filed 10/04/11 Entered 10/04/11 12:09:17 Main Document Pg 16 of 16

IN WITNESS WHEREOF, the undersigned has duly executed this Evidence of Transfer of Claim by its duly authorized representative dated September 2 2011.

SELLER

BNP PARIBAS SECURITIES CORP.

By:
Name:
Title:

BUYER

DEUTSCHE BANK AG, LONDON BRANCH

Philipp Roever Vice President

By: ( 2 Name:

Title:

Name: Title: